

COPY OF PAPERS ORIGINALLY FILED



PATENT 2543-1-023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

RAYMOND A. DWEK ET AL.

SERIAL NO.:

10/042,527

EXAMINER: ANNE-MARIE BAKER

FILED

OCTOBER 19, 2001

ART UNIT: 1614

FOR

THERAPEUTIC COMPOSITIONS AND METHODS OF TREATING

GLYCOLIPID STORAGE RELATED DISORDERS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER OF

PATENTS, WASHINGTON, DC 20231 on August 6, 2002

David A. Jackson Reg. No. 26,742

(Name of Registered Representative)

(Signature and Date)

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, DC 20231

Dear Sir:

Applicants hereby request that a Corrected Formal Filing Receipt (PTO-103X) be issued to correct the omission of three (3) Inventors in the above-identified Application.

Please note the following three (3) Inventors which were omitted and should be inserted:

- -- THIRD JOINT INVENTOR MYLVAGANAM JEYAKUMAR --
- -- FOURTH JOINT INVENTOR FRANCIS M. PLATT --
- -- FIFTH JOINT INVENTOR DAVID PRIESTMAN --.

The above appears on the Declaration and Power of Attorney filed on October 19, 2001. A copy of said Declaration and Power or Attorney plus the Transmittal Letter is enclosed.

A copy of the Filing Receipt with the requested insertions marked in red is also enclosed.

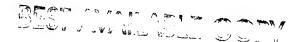
Applicants accordingly request that the records of the U.S. Patent and Trademark Office be corrected to reflect the correct Filing Date in the above-mentioned Application.

Respectfully submitted,

David A. Jackson

Attorney for Applicant(s) Registration No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 10/042.527 10/19/2001 1614 1194 2543-1-023 38 3

David A. Jackson Klauber & Jackson 411 Hackensack Avenue Hackensack, NJ 07601



CONFIRMATION NO. 1260 UPDATED FILING RECEIPT *OC000000007910665*

Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Raymond A. Dwek, Oxford, UNITED KINGDOM: Terence D. Butters, Oxford, UNITED KINGDOM;

ADD MYLVAGANAM JEYAKU MAR > FRANCIS M, PLATT THIS APPLICATION IS A CON OF PCT/GB00/01560 04/20/2000 DAVID PRIESTMAN

Foreign Applications

UNITED KINGDOM 9909066.4 04/20/1999

If Required, Foreign Filing License Granted 02/11/2002

Projected Publication Date: 07/25/2002

Non-Publication Request: No

Early Publication Request: No

Title

Therapeutic compositions and methods of treating glycolipid storage related disorders

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Title 35, United Stat s Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PTO/SB/13/PCT (10-00) Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
2543-1-023	CLASS	SUBCLASS		

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

this is a request for filing a \(\subseteq\) continuation \(\subseteq\) divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT_GB00 / 01560 ___, filed on _4/20/00 __entitled COMBINATION OF GLUCOSYLCERAMIDE SYNTHESIS INHIBITORS AND GLYCOLIPID DEGRADING ENZYME IN THERAPY which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not

entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	38 - 20 =	18	x \$ <u>18.</u> =	\$ 324.00
: 	INDEPENDENT CLAIMS (37 CFR 1.16(b))	03 - 3 =	0	x \$ <u>0</u> =	0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1,16(d)) +\$ 0 =				0
			BASIC F (37 CFR 1.1		+_740.00
	Total of above Calculations =				
}	Reduction by 50% for filing				

1. Enclosed are the specification, claims and drawing(s).

(status, abandoned, pending, etc.)."

2.	Applicant claims small entity status. See 37 CFR 1.27.	DEST VIVING COM
3.	The Commissioner is hereby authorized to charge any feet 1.17, or credit any overpayment to Deposit Account No enclosed.	s which may be required under 37 CFR 1.16 and
4. 🔲	A check in the amount of \$ is enclosed.	
5. 🔲	Payment by credit card. Form PTO-2038 is attached.	
6. 🔲	Application Data Sheet is enclosed. See 37 CFR 1.76	

[Page 1 of 2]

division of international application number PCT___/____, filed___

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Amend the specification by inserting before the first line the sentence: "This application is a __ continuation

COPY OF PAPERS ORIGINALLY FILED

7.

Approved for use through 10/31/02. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

 A declaration under 37 CFR 1.63 is enclosed. University of foreign application number 9909066.4 is claimed under 35 U.S.C. 119(a)-(d). The certified copy is enclosed. A preliminary amendment is enclosed. Also enclosed: Five (5) Sheets of Informal Drawings (Information of Information of In	Figure 1 to Figure 11)
WARNING: Information on this form may become be included on this form. Provide credit card information	nublic Credit cord informati
be included on this form. Provide credit card infor	mation and authorization on PTO-2038.
October 19, 2001	XXX L
Date	Signature
Da	vid A Jackson, Reg. No. 26,742
	Typed or printed name
Inventor(s)/Applicant(s)	
Assignee of the entire interest. See 37 CFR 3.1 under 37 CFR 3.73(b) is enclosed. (Form PTC	71. Statement
Attorney or agent of record	
Filed under 37 CFR 1.34(a) Registration number if acting under 37 CFR 1.34(a)	
NOTE: Signatures of all the inventors or assignees of record of the en Submit multiple forms if more than one signature is required, see below	
Total offorms are submitted.	
	T .